

**Telecommunications Regulatory Affairs Advisory Committee**

**Provision of International Calling Line Identification under the New  
International Telecommunication Regulations**

**Purpose**

This paper briefs Members about the provision of international Calling Line Identification (“CLI”) for calls originated from Hong Kong under the new Article 3.6 of International Telecommunication Regulations (“ITRs”), proposes revision to an existing code of practice to meet the new requirement, and solicits Members’ views on the proposed arrangement.

**Background**

2. The “Code of Practice in relation to Calling Line Identification and Other Calling Line Identification Related Services” (“the Code”) has been prepared for telecommunications network operators (hereafter referred to as “Operators”<sup>1</sup>), including but not limited to External Fixed Telecommunications Network Services (“EFTNS”) operators, using numbers and codes allocated by the Communications Authority for fixed and mobile services in accordance with the “Code of Practice Relating to the Use of Numbers and Codes in the Hong Kong Numbering Plan (Cap. 106)” to follow. The Code governs the responsibilities of the Operators as well as the External Telecommunications Services (“ETS”) providers (hereafter referred to as “Providers”) using short access codes or numbers in relation to the transfer and management of CLI information and provision of Calling Number Display, Calling Name Display and other related services. The Code applies to all calls with origination from and/or termination at networks of the Operators and Providers.

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<sup>1</sup> For avoidance of doubt, “Operators” referred to in the Code shall include, but not limited to licensees of External Fixed Telecommunications Network Services licence, Fixed Telecommunications Network Services licence, Fixed Carrier licence, Public Mobile Radiotelephone Services licence, Personal Communications Services licence, Mobile Carrier licence, Mobile Virtual Network licence, Services-based Operator licence for Class 1/2 and Unified Carrier licence.

***The new Article 3.6 of ITRs from WCIT-12***

3. In the World Conference on International Telecommunications held in December 2012 (WCIT-12) convened by the International Telecommunication Union (“ITU”), the ITRs were updated. Details of the new ITRs were discussed in TRAAC Paper No. 2/2013<sup>2</sup>.

4. Among others, there is a new requirement about the provision of international CLI for calls originated from Hong Kong under Article 3.6 with a view to addressing the concerns of some Member States for combating frauds and tracing malicious calls. The details of Article 3.6 are given below –

*“3.6 Member States shall endeavour to ensure that international calling line identification (CLI) information is provided taking into account the relevant ITU-T Recommendations.”*

5. As Article 3.6 will come into effect on 1 January 2015, it is time for the Communications Authority to include the new requirement in the existing regulatory regimes and the telecommunications industry in Hong Kong to endeavour the provision of international CLI for calls originated from Hong Kong in the light of the relevant ITU-T Recommendations.

***The relevant ITU-T Recommendations for the provision of international CLI***

6. According to Resolution 65 from the World Telecommunication Standardization Assembly 2012 (WTSA-12)<sup>3</sup>, different aspects of CLI information are covered by the relevant ITU-T Recommendations, including ITU-T E.157 which defines international CLI delivery; ITU-T I.251.3, I.251.4 and I.251.7 which define the service aspect of CLI related supplementary services; ITU-T Q.731, Q.764 and Q.1912.5 which define the signalling aspect in CLI information. These ITU-T Recommendations except ITU-T E.157 mainly focus on the technical aspect of CLI information.

7. The thrust of Article 3.6 is on the ITU-T E.157 “International calling party number delivery”<sup>4</sup> which provides guidance for the provision of international CLI for calls originated from Hong Kong. Session 7 of the ITU-T E.157 is quoted as follows:

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<sup>2</sup> TRAAC Paper No. 2/2013 is available at [http://www.ofca.gov.hk/filemanager/ofca/en/content\\_757/traac02\\_2013.pdf](http://www.ofca.gov.hk/filemanager/ofca/en/content_757/traac02_2013.pdf)

<sup>3</sup> WTSA-12 Resolution 65 is available at <http://www.itu.int/en/ITU-T/wtsa12/Documents/resolutions/Resolution%2065.pdf>

<sup>4</sup> ITU-T E.157 “International calling party number delivery” is available at [https://www.itu.int/rec/dologin\\_pub.asp?lang=e&id=T-REC-E.157-200911-I!!PDF-E&type=items](https://www.itu.int/rec/dologin_pub.asp?lang=e&id=T-REC-E.157-200911-I!!PDF-E&type=items)

*“Calling party numbers shall, consistent with technical capabilities and national legal and regulatory frameworks, be delivered across boundaries of countries except for the following cases:*

*a) Depending on bilateral/multi-lateral agreement, the originating network may restrict the calling party number from being sent to the destination network when the CLIR supplementary service is applicable.*

*b) Restrictions in cases of national legal and regulatory frameworks.*

*In both cases a) and b), calling party numbers sent across international boundaries shall, consistent with technical capabilities and national legal and regulatory frameworks, contain as a minimum the country code of the originating country.”*

### ***The provision of international CLI in other economies***

8. Regarding the provision of international CLI, we sent enquiries to seven advanced economies<sup>5</sup>. We only received a reply from the Infocomm Development Authority (“iDA”) of Singapore and obtained the relevant information of Europe from its official website.

9. In Singapore, iDA requires operators to comply with the requirement by putting the following licence condition:<sup>6</sup>

*“12.3 The Licensee is required to comply with the following technical requirements and safeguards:*

*(a) The Licensee shall not manipulate the Calling Line Identity of the original calling party and the original calling party Calling Line Identity shall be passed on in the conveyance of a call accordingly. ”*

10. In Europe, Electronic Communications Committee (ECC) of the European Conference of Postal and Telecommunications Administrations (CEPT) has issued ECC Recommendation 11(02)<sup>7</sup> to provide the guidelines for

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<sup>5</sup> They include Australia, Japan, Mainland China, Singapore, South Korea, the United Kingdom and the United States.

<sup>6</sup> iDA licence template is available at

<http://www.ida.gov.sg/~media/Files/PCDG/Licensees/Licensing/SBOLicence/SBOTemplate.pdf>

<sup>7</sup> ECC Recommendation 11(02) is available at

<http://www.erodocdb.dk/docs/doc98/official/pdf/Rec1102.pdf>

CLI delivery by CEPT member countries. The relevant requirements are quoted as followed:

*“2) that all electronic communications operators and service providers, national and international, involved in an electronic communication service that uses an E.164 number or other originating identifier shall provide or transport and forward OI/CLI information adhering to ITU-T and/or ETSI related international standards;*

.....

*4) that the originating operator/service provider is responsible for the correctness of the OI/CLI;*

*5) that an operator/service provider sending or receiving electronic communications should not change the contents of the OI/CLI, if not specifically allowed in cases mentioned in regulations/standards; ”*

11. We learn from their experiences that both iDA and ECC adopt the Article 3.6. While iDA takes a strict approach by imposing mandatory requirements in the licence condition of licensees, ECC issues an ECC Recommendation for the CEPT member countries to follow.

### ***Current Status in Hong Kong***

12. Based on the figures provided by major EFTNS operators, it is estimated that more than 90% of international calls terminated to Hong Kong from other major economies, including the United States, the European countries, Australia, Japan, Singapore and the Mainland China, carry international CLI. However, for international calls originated from Hong Kong, international CLI guaranteed calls currently account for less than 70% of the total. In other words, there is 30% of such traffic inconsistent with ITU-T E.157 and the new Article 3.6. There is a need for the Providers to liaise with their EFTNS operators regarding the delivery of international CLI.

13. In the discussion of the TRAAC Paper No. 2/2013 in the 3rd TRAAC Meeting, some members expressed concerns regarding the extra time and cost involved in the provision of international CLI for calls originated from Hong Kong.<sup>8</sup> Following the Meeting, a study on the cost implication of the

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<sup>8</sup> Minutes of TRAAC Meeting No. 3 is available at [http://www.ofca.gov.hk/filemanager/ofca/en/content\\_756/traac\\_min03.pdf](http://www.ofca.gov.hk/filemanager/ofca/en/content_756/traac_min03.pdf)

provision of international CLI for calls originated from Hong Kong was done with inputs from major EFTNS operators. It estimated that the cost of CLI guaranteed international voice service would be about 30% higher than that of non-CLI guaranteed international voice service. As there is a cost difference for international voice service with or without CLI, implementation of the new Article 3.6 may increase the total cost for provision of outgoing international calls.

## **The Proposal**

14. Taking into account the effective date of the new ITRs, the nature of requirement of the new Article 3.6, as well as the cost and time for the implementation of the requirement, it is proposed to transplant the requirement of Article 3.6 to the Code<sup>9</sup> at this stage. The Code sets out the principles for the transfer and management of CLI information for the Operators and the Providers to follow. The following new paragraph 11 is proposed to be added to the “Requirement of CLI” section of the Code<sup>10</sup> –

*“Provision of International CLI for calls originated from Hong Kong*

*11. The Operators and the Providers shall endeavour to ensure that international CLI information is provided taking into account the relevant ITU-T Recommendations. If CLI information is not provided, the customers should be well informed.”*

15. In accordance with the new paragraph 11, the Operators and the Providers shall endeavour to provide international CLI information. If such information could not be provided because of whatsoever reason, it is of consumer interest that the concerned Operators and Providers should duly inform their own customers.

16. Apart from the findings in paragraph 11, we will continue to keep in view how other advanced economies implement the new Article 3.6 and determine whether we should adopt a more stringent requirement, such as mandating the provision of international CLI for calls originated from Hong Kong, if the situation warrants in the future.

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<sup>9</sup> The Code is available at [http://www.ofca.gov.hk/filemanager/ofca/common/consumer/fixed\\_telecom/cop20051014e.pdf](http://www.ofca.gov.hk/filemanager/ofca/common/consumer/fixed_telecom/cop20051014e.pdf)

<sup>10</sup> The amended Code is annexed to this paper for members' reference.

**For Discussion on  
16 May 2014**

**TRAAC Paper No. 2/2014**

**Views Sought**

17. Members' views and comments on the proposed revision of the Code in view of Article 3.6 of the ITRs are sought.

**Office of the Communications Authority  
May 2014**

**Code of Practice  
in relation to Calling Line Identification and  
Other Calling Line Identification Related Services**

**Foreword**

According to the Statement No. 1 “Interconnection and Related Competition Issues” issued by the ~~Telecommunications~~Communications Authority (TACA) on 28 March 1995, Calling Line Identification (CLI) is integral to the efficient operation of modern telecommunications networks as this type of signalling information allows the introduction of the advanced telephone services to Hong Kong. The TACA considers that CLI is necessary for prompt and efficient interconnection. Therefore the TACA is minded that CLI should be ubiquitously available to each and every network operator at each and every interconnection.

2. The following constitutes a Code of Practice ("the Code") for External Fixed Telecommunications Network Services operators and telecommunications operators using numbers and codes allocated by the TACA for fixed and mobile services in accordance with the “Code of Practice Relating to the Use of Numbers and Codes in the Hong Kong Numbering Plan (Cap. 106)” (hereafter referred to as “Operators”<sup>11</sup>). The Code governs the responsibilities of the Operators, as well as the providers using short access codes or numbers for External Telecommunications Services (ETS) (hereafter referred to as “Providers”), in relation to the transfer and management of CLI information and provision of Calling Number Display (CND), Calling Name Display (“CNAMD”) and other related services. The Code applies to all calls with origination from and/or termination at networks of the Operators and Providers. In case there are technical or operational difficulties in providing CLI to another network, the Operator or the Provider has to justify its case to the satisfaction of the TACA.

3. The TACA reserves the right to amend and approve modifications to this Code, as and when necessary. Amendments may be made by the TACA under one or more of the following circumstances:

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<sup>11</sup> For avoidance of doubt, “Operators” referred to in the Code shall include, but not limited to licensees of External Fixed Telecommunications Network Services licence, Fixed Telecommunications Network Services licence, Fixed Carrier licence, Public Mobile Radiotelephone Services licence, Personal Communications Services licence, Mobile Carrier licence, Mobile Virtual Network licence, ~~and in future may include~~ Services-based Operator licence for Class 1/2 licence referred to in the TA Statement entitled “Regulation of Internet Protocol (IP) Telephony” and Unified Carrier licence referred to in the Consultation Paper entitled “Revision of Regulatory Regimes for Fixed Mobile Convergence”.

- with the unanimous agreement of all the Operators and all the Providers to which the Code will apply if the amendments are made;
- to reflect a change in the Hong Kong legislation;
- to reflect a decision of the Privacy Commissioner for Personal Data;
- to reflect a determination, consent or direction of the TACA; or
- if the TACA considers that such amendments are necessary, having taken into account the views of the Operators and the Providers and to whom the Code will apply if the amendments are made, and any other bodies or individuals that the TACA considers appropriate.

4. Nothing in the Code absolves an Operator or a Provider from operating according to the terms of its licence and / or any legislation in force in Hong Kong. In case of a conflict between the Code and a licence condition, the latter should take precedence.

### **Interpretation**

5. In the Code,

"available" means that CLI is for transmission to the NTP of the terminating network;

"Calling Number Display" ("CND") means the delivery of caller's calling data to the recipient of the call at his NTP that allows him to gain access to the calling party's CLI. This could be in the form of data that is displayed, recorded, interpreted by a database or provided by means of an audio message, or by other means;

"Calling Name Display" ("CNAMD") means the delivery of caller's name data to the recipient of the call at his NTP that allows him to identify the calling party. This could be in the form of data that is displayed, recorded, interpreted by a database or provided by means of an audio message, or by other means. CNAMD service may only be provided together with the CND service and will not be made available as a standalone service;

"NTP" (network termination point) means a distribution point (DP) for connection between a network and a block wiring system of a building, or a telephone socket for connection between a network and customer equipment, or an air interface linking mobile customer equipment to a mobile network, or a logical point that can be identified by means of a specific network address which is linked to a number or code assigned to an end customer;



"out of area" ("O") means that CLI is not for transmission to the NTP of the terminating network in circumstances other than when the CLI data is classified as "private". Nevertheless, CLI, if available, will be sent between networks ubiquitously with the appropriate class type;

"originating network" means the network of an Operator which assigns the number or code to the calling party or which provides the calling party with a service in association with the number or code directly assigned or allocated by the [TACA](#);

"private" ("P") means CLI, if it is available, is not for transmission to the NTP of the terminating network because the calling party has taken specific action to indicate to the originating network that the CLI of the call(s) he makes on that particular telephone line should not be available at the NTP of the terminating network;

"receiving network" means the network of an Operator in receipt of a call across any interconnection. It can be a transit network or a terminating network;

"terminating network" means the network of an Operator which assigns the number or code to the called party or which provides the called party with a service in association with the number or code directly assigned or allocated by the [TACA](#); and

"transit network" means the network of an Operator involved in the conveyance of a call which is neither an originating network nor a terminating network.

## **Applications of CLI**

6. CLI has the capability to make possible a wide variety of applications. In general, the following applications can be provided through the use of the CLI information available within a network and between networks:

- billing purpose
- call processing
- call screening/call blocking from particular lines
- tracing of nuisance calls/malicious calls
- enhanced customer handling service (e.g. operator assistance service)
- emergency calls (e.g. police/ambulance control centre)
- provision of CLI information to end users (e.g. Calling Number Display)

The above list only illustrates some possible applications of CLI information and is not meant to be exhaustive. Operators and Providers are not necessarily

restricted from introducing new innovative services as long as this Code is not violated.

### **Privacy Safeguards**

7. In handling CLI information including uses, storage and disclosure of CLI information or information derived from it, the Operators and the Providers shall comply with:

- the laws, rules and regulations of Hong Kong, and in particular those related to the Personal Data (Privacy) Ordinance (Cap. 486); and
- their licence conditions regarding confidentiality of customer information.

In addition, the Operators and the Providers shall take note of the following in handling the CLI information:

- The Operators and the Providers shall not use CLI information provided by the data subject or obtained in the course of the provision of service to their customers, other than for and in relation to the provision of the service.
- The Operators and the Providers shall not disclose the CLI information of a data subject, except with the consent, implied or express, of the data subject.
- The Operators and the Providers shall take all practical steps to ensure that callers' CLI information is correctly transmitted through their networks.
- The Operators and the Providers shall take all practical steps to ensure that their employees or agents will not reuse the CLI information provided to them by their customers.

### **Requirement of CLI**

8. In the case of a call from an originating network, CLI means the signaling information which enables the calling party's number to be identified and which is transmitted through one or more networks. It should be the actual number of the calling party from which the call is being made ("calling party number"), and any name or information to supplement this number should only appear in addition to the number and not as its substitute. In general, when a call is made from an originating network to a terminating network, the CLI

information is a network-to-network data and will be routed from the originating switch of the originating network to the terminating switch of the terminating network. In some exceptional cases, the calling party number cannot be readily identified by or available to the receiving network. To facilitate call tracing for emergency purposes and other call management purposes, the CLI information, which can help identify the calling party, should be sent over the call made from :

- a private automatic branch exchange (PABX) which cannot provide the CLI information at its interface with the public network
  - the originating network to which the PABX is connected shall set a CLI equivalent to one of the numbers within the numbering range assigned to the PABX and, where applicable, a name or other supplementary information as determined by the operator of the PABX for returning calls to the extensions of the PABX; and
  - the calls shall be set as "O" (signifying “out of area”) by the originating network and the calling party number or the calling party name shall not be displayed in the terminating customer equipment; or
  - the calls shall be set as “available” by the originating network and the calling party number or the calling party name shall be displayed in the terminating customer equipment provided that returning calls can be answered by the operator of the PABX;
- an outgoing call only line
  - the originating network shall set a CLI equivalent to the directory number of the outgoing call only line; and
  - the calls shall be set as "O" by the originating network and the calling party number shall not be displayed in the terminating customer equipment;
- a payphone
  - the originating network shall set a CLI equivalent to the directory number of the payphone line; and
  - the calls shall be set as "O" by the originating network and the calling party number shall not be displayed in the terminating customer equipment;
- a mobile inbound roamer

- the originating network of the mobile inbound roamer shall set a CLI with a prefix level which is equivalent to one of the numbering levels allocated to the mobile network / operator as specified in HKTACA 3101;  
and
- the calls shall be set as "O" by the originating network and the calling party number shall not be displayed in the terminating customer equipment.

9. In the case of an incoming external call, the CLI is the information that identifies the first landing network or service provider to facilitate call tracing and billing purposes. In general, the calls should be classified as "O" and the calling party number should not be displayed in the terminating customer equipment. The format of the CLI associated with an incoming external call is given in HKTACA 3101.

10. Details of the CLI setting for both incoming external calls routed from a Provider and outgoing external calls originated from an Operator to a Provider are given in Annex 1.

*Provision of International CLI for calls originated from Hong Kong*

11. The Operators and the Providers shall endeavour to ensure that international CLI information is provided taking into account the relevant ITU-T Recommendations. If CLI information is not provided, the customers should be well informed.

**Responsibilities of the originating network**

*User-to-Network Layer*

12. The originating network of an Operator shall set a correct CLI in the calls generated from the customer equipment that cannot provide the CLI information. For customer equipment which allows the customer to insert the CLI information at its interface with the public network, the originating network shall validate and ensure the inserted CLI information is within the numbering range assigned to the customer equipment. The originating network shall set the CLI in the calls generated from those special calling parties in accordance with the requirements specified in Section 8. In addition, the originating network shall ensure that a call presented for connection to another network is correctly classified at the network boundary and made known to the receiving network. To be consistent with the TACA's technical specification, the following classifications apply:

- All calls originating on any network, or any part of any network, that does not provide a CND / CNAMD blocking option for the calling party are classified as "O";
- All calls originating on parts of networks where the calling party has not been informed that the CND / CNAMD will be available to the called party unless he exercises a blocking option are classified as "O" or, where a blocking option has been activated by the calling party, as "P" (signifying "private");
- All calls originating on parts of the networks where an option for blocking CND / CNAMD is available and the calling party has been so informed shall be classified as:
  - "P " if blocking has been activated by the calling party; or
  - "available" if blocking has not been activated by the calling party, signifying that the CLI will be transmitted without restriction;
  - All calls originating on any network, or any part of any network, where CLI is not available for the calling party are classified as "O".

13. With regard to the choice of blocking CND / CNAMD to be exercised by the calling party, originating network operators should offer the following options:

#### Per line blocking

The Operator shall classify all calls originating from a specific NTP as "P" as soon as practicable after being instructed to do so by its customer connected to that NTP.

#### Per call blocking

- For Operators not using the GSM standard - all calls prefixed "133" shall be classified as "P" while all calls prefixed "#31#" shall result in a number unobtainable tone or a message (uncharged) informing the calling party that the code "#31#" is not available, or that the prefix "133" should be used to inhibit CND / CNAMD to the called party. This classification takes effect only for that one single call made immediately after dialing the prefix;
- For Operators using the GSM standard - all calls prefixed either "133" or "#31#" shall be classified as "P". This classification takes effect only for that one single call made immediately after dialing the prefix;

- For those networks or parts of the networks which do not provide a CND / CNAMD blocking option for the calling party, a call prefixed by "133" or "#31#" will still be classified as "O", and will result in a message (uncharged) informing the calling party that the network is unable to support CND / CNAMD blocking.

14. With regard to the choice of unblocking CND / CNAMD to be exercised by the calling party, originating network operators should offer the following options:

Per line unblocking

The Operator shall reclassify all calls originating from a specific NTP from "P" to "available" as soon as practicable after being instructed by its customer connected to that NTP.

Per call unblocking

- For Operators not using the GSM standard - all calls prefixed "1357" shall be classified with "available" while calls prefixed "\*31#" results in a number unobtainable tone or a message (uncharged) informing the calling party that the code "\*31#" is not available, or that the prefix "1357" should be used to resume CND / CNAMD to the called party. The "available" classification is valid only for that one single call made immediately after dialing the prefix;
- For Operators using the GSM standard - all calls prefixed "1357" or "\*31#" shall be classified as "available". This classification is valid only for that one single call made immediately after dialing the prefix;
- For those networks or parts of the networks which do not provide a CND / CNAMD unblocking option for the calling party, a call prefixed by "1357" or "\*31#" will still be classified as "O", and will result in a message (uncharged) informing the calling party that the network is unable to support CND / CNAMD unblocking.

15. With regard to the blocking and unblocking options given in Sections ~~1213~~ and ~~1314~~, "per line blocking" should be provided with "per call unblocking", while "per line unblocking" should be provided with "per call blocking".

16. "CND / CNAMD Blocking Service (per line or per call)", if activated, will block both the number and, where applicable, the CNAMD data of the calling party. For "CND / CNAMD Unblocking Service (per line or per call)", if activated, will unblock both the number and, where applicable, the CNAMD data of the calling party.

17. All of the above blocking or unblocking options should be operational and accessible to all customers no later than the provision of CND / CNAMD and related services to them. The calling party should not be required to pay for exercising any of the blocking options.

18. If a customer wishes to have his/her CNAMD data displayed to the called party when making a call from his/her subscribed telephone line, he/she will have to make prior registration with his /her Operator.

19. Only the registered name of the telephone line from which the call is made shall be displayed. If the Operator receives a request to display a name that is different from the registered name, the Operator shall require the production of the written authorisation from the registered customer and also the written consent from the party whose name is to be displayed.

*Network-to Network Layer*

20. In the case that CND blocking is activated by the calling party, CLI information is still required to be transferred from the originating network to the terminating network but an indicator has to be set for not displaying the CND information to the called party.

21. If the sending of CNAMD information has been blocked by the calling party, the originating network should send the CNAMD information to the terminating network but an indicator has to be set for not displaying the CNAMD information to the called party.

22. Unless otherwise agreed by the terminating network and/or transit network(s), the originating network should not send the CNAMD information to the terminating network that does not provide the CNAMD service.

**Responsibilities of the terminating network**

*User-to-Network Layer*

23. The Operator of a terminating network offering CND / CNAMD or related services shall ensure that the classification of calls obtained at its network boundary is maintained in the delivery of that call to the called party. The data to be delivered shall be as follows:

Classification at interconnection point	Data to be delivered to NTP	Indication at the customer equipment
“P”	P	"withheld" indicator or indicator to the same effect

“O”	O	"unavailable" indicator or indicator to the same effect
“available”	CND and, where applicable, CNAMD data	the CND and, where applicable, CNAMD data

24. Most importantly, the Operator of the terminating network should ensure that CND data and, where applicable, CNAMD data shall be available at the NTP for display purposes, only for those calls classified as "available". Any other service that allows the called party to call back or gain access to the CND data and, where applicable, CNAMD data shall similarly be available only in relation to those calls with "available" classification.

25. Notwithstanding the above, all blocking options for calls to the emergency line 999 (or 112 for calls originating from mobile networks) shall be overridden in the terminating network, and that the classification of "P" or "O" for such calls shall be ignored and taken as "available", and whatever CND data and CNAMD data that is available shall be passed across the NTP.

26. Where the Operator of a terminating network offers an anonymous call rejection service to its customers this service shall not operate in such a way as to have anti-competitive effects (e.g. by rejecting all calls classified as "O" without offering the customers the choice otherwise). This does not preclude the offering of anonymous call rejection services based on the customer's choice.

27. Where a terminating network is not offering CND / CNAMD or related services, no action is required on the basis of the call classification.

#### *Network-to-Network Layer*

28. If the called party activates the call-forwarding / follow-me type of function, the original terminating network will take the role as a transit network.

### **Responsibilities of the transit network**

#### *Network-to-Network Layer for transit routing case*

29. The Operator of a transit network shall ensure that the caller's CLI data, CNAMD data and the call classification do not alter from the point of entry into its network to the point of exit from its network. In exceptional circumstances where the transit network is not capable of maintaining the integrity of the CLI data and/or classification, the exit classification of a call should be "P" if the "P" indicator can be maintained, and "O" if otherwise. In circumstances where the transit network



◆ is not able to maintain the integrity of the CNAMD data but is nevertheless capable of maintaining the integrity of the CLI data and the call classification, or

◆ has reached agreement with the originating network and/or terminating network to suppress the CNAMD data

the CLI data and the call classification should remain unaltered but the CNAMD data should be suppressed.

*Network-to-Network Layer for call-forwarding case*

30. The CLI of the original caller should be maintained as the CLI information throughout the subsequent routes from the transit network (original terminating network) to the terminating network.

31. Unless otherwise agreed by the terminating network, the transit network (original terminating network) should not send the CNAMD information to the terminating network that does not provide the CNAMD service.

**Responsibilities of the receiving network**

32. The responsibility of maintaining the integrity of CND data, CNAMD data, and the relevant classification lies primarily with the Operator from which the data or classification is received. However, in the event that the network from which the call is received cannot support CLI classification (e.g. it is an analogue network), the Operator of the receiving network shall classify the call as "O" at the point of exit.

**Consumer education and publicity requirement**

33. Operators providing CND, CNAMD and related services are required to commence a publicity programme to inform their customers of the privacy implications of these services and all the blocking options available to them well before the formal launching of any of these services. Customers should specifically be informed that CND data and, where applicable, CNAMD data will be forwarded unless the blocking has been activated. The publicity programme should include the circulation of information leaflets to customers and publicity to the satisfaction of the [TACA](#). Enquiry hotlines should also be made available. Operators are expected to cooperate with each other to ensure that the messages customers receive are not confusing.

### Technical standard

34. Operators and Providers shall ensure that the principles and objectives as stipulated in this code will not be compromised, irrespective of the technologies deployed. Operators and Providers shall observe the Standardisation Guide HKTACA 3202 issued by the TACA in respect of implementation of the network connection standards.

#### *(a) User-to-Network Layer*

A common technical standard is to be adopted by the industry so that the customer's equipment used for CND and CNAMD does not have to be network specific. The specifications currently in force are HKTACA 2012, 2014, 2015, 2018, 2022, 2026 and 2027, copies of which may be retrieved from the web site <http://www.oftaofca.gov.hk>.

#### *(b) Network-to-Network Layer*

For technical details on the sending of CLI information across networks, the Operators and the Providers should refer to HKTACA 2202 and HKTACA 2203 and any revision published by the TACA, copies of which may be retrieved from the web site <http://www.oftaofca.gov.hk>.

Office of the TelecommunicationsCommunications Authority  
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**Annex 1 – CLI Setting for External Calls routed via ETS Providers**

**1. CLI Setting for Incoming External Calls originated from ETS Providers**

1.1 When ETS providers use the network-to-network standard specified in Section 33(b) for connection to the directly connected fixed Operators, ETS providers shall insert the correct CLI information into the calls at the outgoing routes of the ETS platforms in accordance with HKTACA 3101. The calls shall be set as “O” by ETS providers. The directly connected fixed Operators shall verify the correctness of the CLI information and classification inserted by the ETS providers.

1.2 When ETS providers use the equipment which can support insertion of CLI but is not based on the standard specified in Section 33(b), they may insert the correct CLI information into the calls at the outgoing routes of the ETS platforms or provide the correct CLI information to the directly connected fixed Operators for the CLI insertion in accordance with HKTACA 3101. The calls shall be set as “O” by the directly connected fixed Operators. The directly connected fixed Operators shall verify the correctness of the CLI information if the CLI is inserted by ETS providers. The decision on whether the ETS provider to insert the CLI or the directly connected fixed Operator to insert the CLI should be subject to the mutual agreement between the two parties.

1.3 The directly connected fixed Operators have to insert the CLI information for those ETS providers who use the equipment that cannot support the insertion of the CLI information. ETS providers have to provide the correct CLI information to the directly connected fixed Operators for the CLI insertion in accordance with HKTACA 3101. The calls shall be set as “O” by the directly connected fixed Operators.

**2. CLI Setting for Outgoing External Calls originated from the Operators**

2.1 When ETS providers use the network-to-network standard specified in Section 33(b) for connection to the directly connected fixed Operators, the directly connected fixed Operators shall pass the CLI at the incoming routes of the ETS platforms to the ETS providers.

2.2 For ETS providers using standards other than that specified in Section 33(b) for connection to the directly connected fixed Operators, the directly connected fixed Operators may pass the CLI to the ETS providers at their own discretion.

In case the call is classified as “P” or “O”, the CLI received by the ETS providers shall not be disclosed to any other third party.